

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 13:05:56

2. **Agency:** 015

3. **Bureau:** 35

4. **Name of this Investment:** TreasuryDirect

5. **Unique Project (Investment) Identifier:** 015-35-01-14-01-1002-00

6. **What kind of investment will this be in FY 2011?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB? ***

8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

Public Debt borrows the money needed to operate the Federal Government and account for the resulting debt. Within this core mission, Public Debt manages the Retail Securities Services Program, which exists to enable private citizens and organizations to directly own and maintain U.S. Treasury securities. The securities included in the retail program are non-marketable securities in the form of savings bonds and marketable securities in the form of bills, notes, bonds, and Treasury Inflation-Indexed Securities (TIPS). TreasuryDirect is an Internet application that enables an investor to open an account, buy U.S. Treasury obligations, and manage the account and holdings. The system provides investors with an easy and secure way of viewing and managing all of their Treasury security holdings from one convenient location. The system's design is predicated on maximizing customer self-sufficiency and minimizing off-line processing by customer service and related personnel. TreasuryDirect was developed and designed in accordance with the Rapid Application Development (RAD) concept. RAD means that system functionality is incrementally developed and deployed in manageable phases. Public Debt will continue to maintain and enhance the system using the RAD concept. The primary reasons for investing in TreasuryDirect in FY10/11 are: 1. To improve marketable purchase and reinvestment functionality. 2. To enhance savings bond gift functionality. 3. To keep technology up to date and to make suggested customer, customer service, and reporting enhancements. 4. To improve the systems records management functionality. 5. To maintain the current infrastructure. Public Debt will continue to adhere to its current IT Governance process to ensure that resources are wisely invested and justified.

a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. **Did the Agency's Executive/Investment Committee approve this request? ***

a. If "yes," what was the date of this approval? *

10. **Contact information of Program/Project Manager?**

- **Name:** *

- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
TPD-OIT-09-K-00038	FFP: Firm Fixed Price	Y	2009-01-31	2009-01-31	2013-01-29	\$0.3	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a.If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2007	Effectively Managed U.S. Government Finances	*	*	Number of electronic securities sold online	1.48 million electronic securities sold online during FY06	1.48 million	1.40 million electronic securities sold in FY07
2007	Effectively Managed U.S. Government Finances	*	*	Percent of customer satisfaction survey quarterly results rated good or better	89% good or better in FY06	89%	89.84% good or better in 3Q and 4Q FY07 (this performance measure was first reported in 3Q FY07.)
2007	Effectively Managed U.S. Government Finances	*	*	Percent of accounts successfully authenticated online	63% successfully authenticated online from 3/1/06 - 9/30/06 (this is the period of time current authentication method was first measured)	63%	64.18% of accounts successfully authenticated online for 3Q and 4Q FY07 (this performance measure was first reported in 3Q FY07.)
2007	Effectively Managed U.S. Government Finances	*	*	Percent of time system is available	98.9% of time system was available in FY06	99%	99.42% of time system was available during 3Q and 4Q FY07 (this performance measure was first reported in 3Q FY07.)
2008	Effectively Managed U.S. Government Finances	*	*	Number of electronic securities sold online	1.40 million electronic securities sold in FY07	1.40 million	1.09 million electronic securities sold through September 30, 2008
2008	Effectively Managed U.S. Government Finances	*	*	Percent of customer satisfaction survey quarterly results rated good or better	89.84% good or better in 3Q and 4Q FY07 (this performance measure was first reported in 3Q FY07.)	89.84%	89.01% good or better 10/01/07 through 9/30/08.
2008	Effectively Managed U.S. Government Finances	*	*	Percent of accounts successfully authenticated online	64.18% of accounts successfully authenticated online for 3Q and 4Q FY07 (this performance measure was first reported in 3Q FY07.)	64.18%	71.22% of accounts successfully authenticated online 10/01/07 through 9/30/08
2008	Effectively Managed U.S. Government Finances	*	*	Percent of time system is available	99.42% of time system was available during 3Q and 4Q FY07 (this	99.42%	99.42% of time system was available 10/01/07 through 9/30/08

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
					performance measure was first reported in 3Q FY07.)		
2009	Effectively Managed U.S. Government Finances	*	*	Number of electronic securities sold online	1.09 million electronic securities sold online during FY08	1.12 million	.890 million electronic securities sold through September 30, 2009
2009	Effectively Managed U.S. Government Finances	*	*	Percent of customer satisfaction survey quarterly results rated good or better	89.01% good or better in FY08	89.84%	90.28% good or better 10/01/08 through 9/30/09.
2009	Effectively Managed U.S. Government Finances	*	*	Percent of accounts successfully authenticated online	71.22% successfully authenticated online in FY08	81%	84.15% of accounts successfully authenticated online 10/01/08 through 9/30/09
2009	Effectively Managed U.S. Government Finances	*	*	Percent of time system is available	99.42% of time system was available in FY08	99.5%	99.29% time system was available 10/01/08 through 9/30/09
2010	Effectively Managed U.S. Government Finances	*	*	Number of electronic securities sold online	.890 million electronic securities sold online during FY09	.900 million	.33 million electronic securities sold through February 28, 2010.
2010	Effectively Managed U.S. Government Finances	*	*	Percent of customer satisfaction survey quarterly results rated good or better	90.28% good or better in FY09	90%	88.92% good or better 10/01/09 through 02/28/10.
2010	Effectively Managed U.S. Government Finances	*	*	Percent of accounts successfully authenticated online	84.15% successfully authenticated online in FY09	84%	86.29% of accounts successfully authenticated online 10/01/09 through 02/28/10.
2010	Effectively Managed U.S. Government Finances	*	*	Percent of time system is available	99.29% of time system was available in FY09	99.5%	99.89% time system was available 10/01/09 through 02/28/10.
2011	Effectively Managed U.S. Government Finances	*	*	Number of electronic securities sold online	.900 million electronic securities sold online during FY10	.910 million	TBD
2011	Effectively Managed U.S. Government Finances	*	*	Percent of customer satisfaction survey quarterly results rated good or better	90% good or better in FY10	90%	TBD

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2011	Effectively Managed U.S. Government Finances	*	*	Percent of accounts successfully authenticated online	84% successfully authenticated online in FY10	84%	TBD
2011	Effectively Managed U.S. Government Finances	*	*	Percent of time system is available	99.5% of time system was available in FY10	99.5%	TBD
2012	Effectively Managed U.S. Government Finances	*	*	Number of electronic securities sold online	.910 million electronic securities sold online during FY11	.920 million	TBD
2012	Effectively Managed U.S. Government Finances	*	*	Percent of customer satisfaction survey quarterly results rated good or better	90% good or better in FY11	90%	TBD
2012	Effectively Managed U.S. Government Finances	*	*	Percent of accounts successfully authenticated online	84% successfully authenticated online in FY11	84%	TBD
2012	Effectively Managed U.S. Government Finances	*	*	Percent of time system is available	99.5% of time system was available in FY11	99.5%	TBD

Part II: Planning, Acquisition And Performance Information

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY 2006 System Operation costs	\$5.0	\$5.0	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
FY 2007 Costs associated with daily system operation	\$2.1	\$1.9	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY 2007 system upgrade definition stages for 2 Factor Authentication and 3rd release	\$0.9	\$0.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY 2007 system upgrade design stages for 2 Factor Authentication and 3rd release	\$0.9	\$0.9	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY 2007 system upgrade development stages for 2 Factor Authentication and 3rd release	\$0.8	\$0.8	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY 2007 system upgrade deployment stages for 2 Factor Authentication and 3rd release	\$0.6	\$0.8	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
FY 2008 System Operation	\$2.2	\$1.4	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY 2008 system upgrade definition stages(3)	\$0.9	\$0.6	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY 2008 system upgrade design	\$0.9	\$0.5	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%

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Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
stages(3)								
FY 2008 system upgrade development stages(3)	\$0.8	\$0.7	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
FY 2008 system upgrade deployment stages(3)	\$0.6	\$0.7	2007-10-01	2007-10-01	2008-09-30	2008-06-30	100.00%	100.00%
FY 2009 System Operation	\$2.3	\$2.4	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY 2009 system upgrade definition stages(3)	\$1.0	\$0.9	2008-10-01	2008-10-01	2009-09-30	2009-07-31	100.00%	100.00%
FY 2009 system upgrade design stages(3)	\$0.9	\$1.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY 2009 system upgrade development stages(3)	\$0.8	\$0.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY 2009 system upgrade deployment stages(3)	\$0.6	\$0.6	2008-10-01	2008-10-01	2009-09-30	2009-06-30	100.00%	100.00%
FY 2010 System Operation (10/09 - 2/10)	\$1.2	\$1.0	2009-10-01	2009-10-01	2010-02-28	2010-02-28	100.00%	100.00%
FY 2010 system upgrade definition stages(3)(10/09 - 2/10)	\$0.1	\$0.2	2009-10-01	2009-10-01	2010-02-28	2010-02-28	100.00%	100.00%
FY 2010 system upgrade design stages(3)(10/09 - 2/10)	\$0.1	\$0.2	2009-10-01	2009-10-01	2010-02-28	2010-02-28	100.00%	100.00%
FY 2010 system upgrade development stages(3)(10/09 - 2/10)	\$0.7	\$0.7	2009-10-01	2009-10-01	2010-02-28	2010-02-28	100.00%	100.00%
FY 2010 system upgrade deployment stages(3)(10/09 - 2/10)	\$0.4	\$0.4	2009-10-01	2009-10-01	2010-02-28	2010-02-28	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
9 - 2/10)								
FY 2011 System Operation	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY 2011 system upgrade definition stages(3)	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY 2011 system upgrade design stages(3)	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY 2011 system upgrade development stages(3)	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY 2011 system upgrade deployment stages(3)	\$0.7	\$1.4	2010-10-01	2008-01-01	2011-09-30	2008-09-30	100.00%	100.00%
FY 2012 System Operation	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY 2012 system upgrade definition stages(3)	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY 2012 system upgrade design stages(3)	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY 2012 system upgrade development stages(3)	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY 2012 system upgrade deployment stages(3)	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY 2013 System Operation	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY 2013 system upgrade definition stages(3)	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY 2013 system upgrade design stages(3)	*	*	2012-10-01		2013-09-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY 2013 system upgrade development stages(3)	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY 2013 system upgrade deployment stages(3)	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY 2014 System Operation	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY 2014 system upgrade definition stages(3)	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY 2014 system upgrade design stages(3)	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY 2014 system upgrade development stages(3)	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY 2014 system upgrade deployment stages(3)	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY 2008 Verification service realignment	\$2.3	\$1.4	2008-01-01	2008-01-01	2008-09-30	2008-09-30	100.00%	100.00%
FY 2010 System Operation (3/10 - 9/10)	\$1.1	\$0.7	2010-03-01	2010-03-01	2010-09-30		71.45%	71.45%
FY 2010 system upgrade - release (3/10-9/10)	\$2.3	\$1.8	2010-03-01	2010-03-01	2010-09-30		71.45%	71.45%

* - Indicates data is redacted.